### FORM D

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## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

#### FORM D

NOTICE OF SALE OF SECURITIES
PURSUANT TO REGULATION D,
SECTION 4(6), AND/OR
UNIFORM LIMITED OFFERING EXEMPTION

136	75	93

OMB Number: 3235-0076

Expires: April 30, 2008

Estimated average burden hours per response.....

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Name of Offering ( check if this is an amendment and r	name has changed, and	indicate change.)	<u> </u>	
An offering of A Interests, C Interests and I Inte	rests	•		
Filing Under (Check box(es) that apply): ☐ Rule 504	☐ Rule 505	□ Rule 506	☐ Section 4(6)	□ ULOE
Type of Filing: New Filing Amendment				
	A. BASIC IDENTI	FICATION DATA	A	
Enter the information requested about the issuer				
Name of Issuer ( check if this is an amendment an	d name has changed, ar	nd indicate change.)	•	•
DKR Quantitative Strategies ASW Fund, a Se	ries of Wachovia A	Alternative Strate	egies Managed Fut	ures & Commodities
Platform, LLC		i		
Address of Executive Offices (Number and Street, City, S	state, Zip Code)		Telephone Number	(Including Area Code)
401 S. Tryon Street, TH3, Charlotte, North Carol	ina 28288-1157		(704) 383-636	59
Address of Principal Business Operations (Number and S	treet, City, State, Zip C	ode)	Telephone Number	(Including Area Code)
(if different from Executive Offices)				
Brief Description of Business	;		, j.	<u></u>
Investment Fund	<u> </u>	ı	i.	<u> </u>
Type of Business Organization				
	limited partnership, alre		🛛 other (please s	specify) Limited Liability Company
business trust	imited partnership, to b	e formed		
:',		<u>Month</u>	<u>Year</u>	·
Actual or Estimated Date of Incorporation or Organizatio	n:	<u>12</u>	<u>2005</u> ⊠ Actı	ual ' 🗆 Estimated
Jurisdiction of Incorporation or Organization: (Enter two	,		•	e e e e e e e e e e e e e e e e e e e
CI	N for Canada; FN for of	her foreign jurisdicti	on) ·	•

#### GENERAL INSTRUCTIONS

#### Cadanal

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C. 77d(6)

When To File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlies of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

Where To File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filled with the SEC.

Filing Fee: There is no federal filing fee.

#### State:

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

### ATTENTION

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure To file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.



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DEC 2 8 2007
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FINANCIAL

A DACIC INDIVIDICATION DATA
A. BASIC IDENTIFICATION DATA  2. Enter the information requested for the following:
• Each promoter of the issuer, if the issuer has been organized within the past five years;
• Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the
issuer;
<ul> <li>Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and</li> </ul>
Each general and managing partner of partnership issuers
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Wachovia Alternative Strategies, Inc.
Business or Residence Address (Number and Street, City, State, Zip Code)
401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Taback, Adam I.
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Ferro, Dennis H.
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Munn, W. Douglas
Büsiness or Residence Address (Number and Street, City, State, Zip Code)
c/o Evergreen Investment Management Company, LLC, 200 Berkeley Street, Boston, MA 02116
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Koonce, Michael H.
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Evergreen Investment Management Company, LLC, 200 Berkeley Street, Boston, MA 02116
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Moss, Matthew C.
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Brown Sheeling D
Brown, Sheelpa P.
Business or Residence Address (Number and Street, City, State, Zip Code)

A. BASIC IDENTIFICATION DATA
2. Enter the information requested for the following:
Each promoter of the issuer, if the issuer has been organized within the past five years;      The instance of the issuer has been organized within the past five years;      The instance of the issuer has been organized within the past five years;      The instance of the issuer has been organized within the past five years;      The instance of the issuer has been organized within the past five years;      The instance of the issuer has been organized within the past five years;      The instance of the issuer has been organized within the past five years;      The instance of the issuer has been organized within the past five years;      The instance of t
• Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer;
Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and
Each general and managing partner of partnership issuers
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Lapple, Barbara Ann
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934
Check Box(es) that Apply:    Promoter    Beneficial Owner    Executive Officer    Director    General and/or Managing Partner
Full Name (Last name first, if individual)
Nakano, Yukari
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Evergreen Investment Management Company, LLC, 200 Berkeley Street, Boston, MA 02116
Check Box(es) that Apply:    Promoter    Beneficial Owner    Executive Officer    Director    General and/or Managing Partner
Full Name (Last name first, if individual)
Patterson, Britta  Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Ballantine, Jacqueline
Business or Residence Address (Number and Street, City, State, Zip Code)
123 Broad Street, Philadelphia, PA 19109
Check Box(es) that Apply:  Promoter Beneficial Owner  Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Coltrin, Robert D.
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934
Check Box(és) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Curry, Barbara R.
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 201 S. College Street, Charlotte, North Carolina 28202
Check Box(es) that Apply:  Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
DeBerry, Jerry W.
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 201 S. College Street, Charlotte, North Carolina 28202
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Ernhart, Danielle B. Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28288-1157

A. BASIC IDENTIFICATION DATA
2. Enter the information requested for the following:
Each promoter of the issuer, if the issuer has been organized within the past five years;
<ul> <li>Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer;</li> </ul>
<ul> <li>Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and</li> </ul>
Each general and managing partner of partnership issuers
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Lipsett, Lloyd
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Evergreen Investment Management Company, LLC, 200 Berkeley Street, Boston, MA 02116
Check Box(es) that Apply:    Promoter    Beneficial Owner    Executive Officer    Director    General and/or Managing Partner
Full Name (Last name first, if individual)
Mullis, Carol
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 301 S. Tryon Street, TH3, Charlotte, North Carolina 28202-6000
Check Box(es) that Apply:  Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Ouellette, Kevin  Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Evergreen Investment Management Company, LLC, 200 Berkeley Street, Boston, MA 02116
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner.
Full Name (Last name first, if individual)
Schwartz, William H.
Business or Residence Address (Number and Street, City, State, Zip Code)
123 Broad Street, Philadelphia, PA 19109
Check Box(es) that Apply:    Promoter    Beneficial Owner    Executive Officer    Director    General and/or Managing Partner
Full Name (Last name first, if individual)
Sweetman, James W.
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Nicolosi, Sean
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Evergreen Investment Management Company, LLC, 200 Berkeley Street; Boston, MA 02116
Check Box(es) that Apply: ☐ Promoter ☐ Beneficial Owner ☑ Executive Officer ☐ Director ☐ General and/or Managing Partner
Full Name (Last name first, if individual)
Veverka, Brian
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Mazitova, Natalia
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28288-1157

A. BASIC IDENTIFICATION DATA	
2. Enter the information requested for the following:	
Each promoter of the issuer, if the issuer has been organized within the past five years;	
• Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or n issuer;	nore of a class of equity securities of the
Each executive officer and director of corporate issuers and of corporate general and managing partners	of partnership issuers; and
Each general and managing partner of partnership issuers	•
Check Box(es) that Apply: ☐ Promoter ☐ Beneficial Owner ☒ Executive Officer ☐ Director	☐ General and/or Managing Partner
Full Name (Last name first, if individual)	
Bowker, Jane	
Business or Residence Address (Number and Street, City, State, Zip Code)	
c/o Evergreen Investment Management Company, LLC, 200 Berkeley Street, Boston, MA 02116	j
Check Box(es) that Apply: ☐ Promoter ☐ Beneficial Owner ☒ Executive Officer ☐ Director	☐ General and/or Managing Partner
Full Name (Last name first, if individual)	
Kumar, Anil	
Business or Residence Address (Number and Street, City, State, Zip Code)	•
c/o Evergreen Investment Management Company, LLC, 200 Berkeley Street, Boston, MA 02116	<b>,</b>
Check Box(es) that Apply:	☐ General and/or Managing-Partner
Full Name (Last name first, if individual)	2 /
	- 17 - 36 - 17 - 13 - 13 - 13 - 13 - 13 - 13 - 13
Business or Residence Address (Number and Street, City, State, Zip Code)	
	· ·
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director	General and/or Managing Partner
Full Name (Last name first, if individual)	
Declared Parking Address Office Control Control	
Business or Residence Address (Number and Street, City, State, Zip Code)	
Check Box(es) that Apply:	General and/or Managing Partner
Full Name (Last name first, if individual)	•
Business or Residence Address (Number and Street, City, State, Zip Code)	
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director	☐ General and/or Managing Partner
Full Name (Last name first, if individual)	General and/of Managing Parties
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Business or Residence Address (Number and Street, City, State, Zip Code)	•
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director	☐ General and/or Managing Partner
Full Name (Last name first, if individual)	Ocherar and or waraging rather
Tun Name (Last name mst, it murvidual)	
Business or Residence Address (Number and Street, City, State, Zip Code)	
Sabridos di Redicine (Radiose (Radiose di astron, Orly, State, Esp Code)	
Check Box(es) that Apply:	☐ General and/or Managing Partner
Full Name (Last name first, if individual)	
Business or Residence Address (Number and Street, City, State, Zip Code)	• · · · · · · · · · · · · · · · · · · ·

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1.	Has	the issu	er sold o	r does the i	ssuer inter	nd to sell, t	o non-accr	edited inve	stors in thi	s offering?	,		es I	No ⊠	
					Answer a	ilso in App	endix, Col	umn 2, if f	iling under	ULOE					
2.	What is	the minir	num inve	estment tha	at will be a	ccepted fro	om any ind	ividual?				!	\$100,000	*	
	*M	ay be wa	ived												
3. 1		-		oint owner	ship of a s	ingle unit?	•							Yes ⊠	No □
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			Broker or	Dealer					i.						
		VA 23		Hac Solici	ited or Inte	nds to Soli	icit Purcha	sers	<del></del>	<u> </u>					<u> </u>
														.🛛 All Sı	ates
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[RI]			[SD]	[TN]	[TX]	[UT]	[VT]	[VA]	[WA]	[wv]	[WI]	[WY]	[PR]	<u> </u>	
Full	Name (I	ast nam	e first, if	individual	)			_							
Busi	ness or I	Residenc	e Addres	s (Number	and Stree	t, City, Sta	ite, Zip Coo	ie)							
•			<u> </u>	. D!										<u> </u>	
Nam	e of Ass	ociated l	Broker o	Dealer											
State	e in Wh	ich Perso	nn Listed	Has Solio	ited or Inte	ends to Sol	icit Purcha	sers							
				ndividual				<b>-</b>		•••••				. Ali S	tates
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[MT [RI]	-	-	[SD]	[TN]	[TX]	[UT]	[VT]	[VA]	[WA]	[WV]	[WI]	[WY]	[PR]		

(Use blank sheet, or copy and use additional copies of this sheet, as necessary)

	C. OFFERING PRICE, NO. OF INVESTORS, EXPENSES AND US	E OF PROCEEDS	
1.	Enter the aggregate offering price of securities included in this offering and the total amount already sold. Enter "0" if answer is "none" or "zero." If the transaction is an exchange offering, check this box \(\Pi\) and indicate in the column below the amounts of the securities offered for exchange and already exchanged.		
	Type of Security	Aggregate Offering Price	Amount Already Sold
	Debt	\$0	\$0
	Equity	\$0	\$0
	☐ Common ☐ Preferred	\$0	\$0
	Convertible Securities (including warrants)	\$0	\$0
	Partnership Interests	\$0	\$0
	Other (Specify: Limited Liability Company Interests	\$No Maximum	\$3,091,500
	Total	\$No Maximum	\$3,091,500
	Answer also in Appendix, Column 3, if filing under ULOE		· · · · · · · · · · · · · · · · · · ·
2.	Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."		
		Number	Aggregate Dollar
		Investors	Amount of Purchases
	Accredited Investors	21	\$3,091,500
	Non-accredited Investors	0	0 -
٠	Total (for filing under Rule 504 only)	•	
	Answer also in Appendix, Column 4, if filing under ULOE	<u>6</u> .	
3. •	If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months	, ,	!
	prior to the first sale of securities in this offering. Classify securities by type listed in Part C-Question 1.	\$ 174 115	
	Type of offering	Type of Security	Dollar Amount Sold
	Rule 505	. N/A	N/A .
	Regulation A		N/A ,
	Rule 504	N/A	N/A
	Total	N/A	N/A
4.a.	Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.		
	Transfer Agent's Fees		\$0
	Printing and Engraving Costs		\$0
_	Legal Fees	<u> </u>	\$50,000
	Accounting Fees		\$0
	Engineering Fees		\$0
	Sales Commissions (Specify finder's fees separately)		\$1,500,000
	Other Expenses (identify): Blue Sky Fees, miscellaneous	$\boxtimes$	\$15,000
	Total		\$1,565,000

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			-
C. OFFERING PRICE, NUMBER OF INVESTORS, EXPE	NSES AND USE OF PROCEEDS		
<ul> <li>b. Enter the difference between the aggregate offering price g total expenses furnished in response to Part C-Question 4. proceeds to the issuer."</li> <li>*expenses estimated on \$100,000,000 offering amount</li> </ul>		⊠	\$98,435,000
expenses estimated on \$100,000,000 orienting amount			
5. Indicate below the amount of the adjusted gross proceeds for each of the purposes shown. If the amount for any purpose the box to the left of the estimate. The total of the gross proceeds to the issuer set forth in response to Part C-C	oose is not known, furnish an estimate and payments listed must equal the adjusted		
		Payments to	
		Officers, Directors, & Affiliates	Payments To
a		□ to	Others
Salaries and Fees		□ \$0 □ \$0	□ \$0 □ \$0
Purchase, rental or leasing and installation of machine		□ \$0 □ \$0	☐ \$0 ☐ \$0
Construction or leasing of plant buildings and facilities		□ \$0	□ \$0 □ \$0
Acquisition of other businesses (including the value of may be used in exchange for the assets or securities of	securities involved in this offering that	□ \$0	□ \$0
Repayment of indebtedness		□ <b>\$</b> 0	□ \$0
Working Capital		\$98,435,000	
Other (specify) Investments in Portfolio Securities		□ \$0	□ \$0             □
Column Totals		<b>\$</b> 0	<b>⊠</b> \$
Total Payments Listed (column totals added)		⊠ \$98	3,435,000
	AL SIGNATURE		
The issuer has duly caused this notice to be signed by the under the following signature constitutes an undertaking by the issue written request of its staff, the information furnished by the issue 502.	er to furnish to the U.S. Securities and Ex	change Commission, up	oon
ssuer (Print or Type)	Signature	Date	
DKR Quantitative Strategies ASW Fund, a Series of Wachovia Alternative Strategies Managed Futures & Commodities Platform, LLC		December	₹, 2007
Name of Signer (Print or Type)	Title of Signer (Print or Type)		
Anil Kumar	Vice President of Wachovia Altern		
	Managing Member of Wachovia A		
	Managed Futures & Commodities	Platform, LLC	
<b>↑</b> T**T	ENTION		
Intentional misstatements or omissions of fact con		8 II S C 1001 )	<del> </del>
PHICHIGAN IN 1921 THE OF A CHRISTON OF 18CL CON	stitute reactal eliminal violations. (See I	0 0.0.0. 1001.)	

	E. STATE SIGNATURE		
1.	Is any party described in 17 CFR 230.262 presently subject to any of the disqualificationYes	No	
	provisions of such rule?	$\boxtimes$	

See Appendix, Column 5, for state response

- 2. The undersigned issuer hereby undertakes to furnish to any state administrator of any state in which this notice is filed, a notice on Form D (17 CFR 239.500) at such times as required by state law.
- 3. The undersigned issuer hereby undertakes to furnish to the state administrators, upon written request, information furnished by the issuer to offerees.
- 4. The undersigned issuer represents that the issuer is familiar with the conditions that must be satisfied to be entitled to the Uniform Limited Offering Exemption (ULOE) of the state in which this notice is filed and understands that the issuer claiming the availability of this exemption has the burden of establishing that these conditions have been satisfied.

The issuer has read this notification and knows the contents to be true and has duly caused this notice to be signed on its behalf by the undersigned duly authorized person.

Issuer (Print or Type)	Signature	Date		
DKR Quantitative Strategies ASW Fund, a Series of Wachovia Alternative Strategies Managed Futures & Commodities Platform, LLC	000	December / ?, 2007		
Name of Signer (Print or Type)	Title of Signer (Print or Type)			
Anil Kumar	Vice President of Wachovia Alternative Strategies, Inc., Mar Member of Wachovia Alternative Strategies Managed Future Commodities Platform, LLC			

#### Instruction.

Print the name and title of the signing representative under his signature for the state portion of this form. One copy of every notice on Form D must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

# APPENDIX

1	2		3	4				5	
	Intend to sell to non-accredited investors in State (Part B-Item 1)		Type of security and aggregate offering price offered in state (Part C-Item 1)	Type of Investor and amount purchased in State (Part C-Item 2)				Disqualification under State ULOE (If yes, attach explanation of waiver granted) (Part E-Item 1)	
State	Yes	No	Interests of Limited Liability Company	Number of Accredited Investors	Amount	Number of Nonaccredited Investors	Amount	Yes	No
AL	1	X	All						Х
AK	1	X	All		· · ·				Х
AZ	-	X	All						Х
AR	-	X	Aii						. X
CA	<del>                                     </del>	X	All	2	\$325,000	0	0		X
СО	1	X	All		-				Х
CT	†	x	All					·	Х
DE .	$\top$	X	All	<u> </u>	• •				X
DC	1	X	All	5	\$665,000	0	0		Х
FL	<b> </b>	X	All						Х
GA	<del>                                     </del>	х	All	2	\$255,000	0	0		λX
. HI	<del>                                     </del>	х	( All	,				[	Χ,
ID	<b>-</b>	X	: All		٠,	, ,			. X
IL	<del>-</del>	Х	All	- :					Х
IN	<del>                                     </del>	X	All	1 3	\$198,000	0 .	0		Х
IA	<del> </del>	x	All						Х
KS	1	X	All		,				Х
KY	<del> </del>	X	All	<u> </u>					X
LA	1	Х	All					;	Х
MA	1	X	All						X
ME	†	x	All						X
MD	<del>                                     </del>	Х	All	1	\$125,000	0	0		X
MI	<del> </del>	Х	All						Х
MN	1	X	All	1	\$150,000	0	0		X
MS	<del>                                     </del>	Х	Ali					Ī	Х
МО	1	х	All	1					Х
MT	† · · · ·	Х	All						X
NE	1	х	All						X
NV	1	Х	All						Х
NH		X	All						Х
NJ		х	All						Х
NM		Х	All						Х
NY		Х	All	1	\$125,000	0	0		Х
NC	<del>                                     </del>	Х	All			0	0		Х
ND	1	х	All						Х
ОН		Х	All						X
ок	1	X	All	1	\$100,000				X
OR		Х	All					<u> </u>	Х
PA		Х	All					_	<u> </u>
RI	1	Х	All						X

# APPENDIX

1	Intend to sell to non-accredited investors in State (Part B-Item 1)		Type of security and aggregate offering price offered in state (Part C-Item 1)	4				5	
State				Type of Investor and amount purchased in State (Part C-Item 2)					Disqualification under State ULOE (If yes, attach explanation of waiver granted) (Part E-Item 1)
	Yes	No	Interests of Limited Liability Company	Number of Accredited Investors	Amount	Number of Nonaccredited Investors	Amount	Yes	No
SC	<del>-</del>	х	All	2	\$650,000	0	0		Х
SD	<del> </del>	х	All						X
TN		Х	All	-					Х
TX	-	х	All			-		1	Х
UT		X	All	<del>                                     </del>	-				Х
VT	-	х	All				-	:	Х
VA		X	All	4	\$398,500	. 0	0		Х
WA	+	x	All		· · · · · · · · · · · · · · · · · · ·				Х
wv	+	X	All				i -		Х
WI		X	- All	1	,\$100,000	0	· · 0	1	х
WY		X	All	,	<u> </u>		,	<u> </u>	, x
PR		<u> </u>	1 1 2		<u> </u>				_

<u>:</u>:.

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